



Bacera Co Pty Ltd

JOINT ACCOUNT APPLICATION

ACN: 130 877 137

AFS LICENCE NO: 328794

ISSUER: BACERA CO PTY LIMITED

ISSUE DATE: June 1st, 2010

Primary Account Holder

Please answer all questions fully.

Please select a PASSWORD (can only contain alphanumeric characters (a-z,A-Z,0-9) and must be between 4-10 characters)

Email Address (Required): _____

Security Question:

- What is your Mother's maiden name?
- What is your pet's name?
- What is your nickname?

Security Answer: _____

General Information

Last Name: _____ First Name: _____ Middle Name: _____

Gender: Male Female Marital Status: Single Married

Tax File Number, Social Security Number, Passport, Driver's License or Reason for Exemption : _____
(Please attach a copy)

Date of birth (MM/DD/YY): _____ Citizenship: _____

Residential Address: _____

City/Suburb: _____ State/Province: _____

Post/Zip Code: _____ Country of Residence: _____

Home Telephone No. : _____ Home Fax No.: _____ Mobile Phone No.: _____

Employment Details

- Employed Self-employed Retired Unemployed

Name of Current Employer: _____ Nature of Business: _____

Occupation: _____ Years with current employer: _____

Business Address: _____ Business Telephone No.: _____

Banking Information

Bank Name: _____ Contact at Bank: _____

Bank Address: _____

Name of Account Holder (Beneficiary): _____

Bank Account Number: _____ SWIFT Code or ABA Number: _____

Financial Information

1. What is your total estimated annual income?

- Under \$25,000
- \$25,000 - \$49,999
- \$50,000 - \$99,999
- \$100,000 - \$249,999
- \$250,000 - \$1,000,000
- Over \$1,000,000

2. Net worth (assets minus liabilities)

- Under \$25,000
- \$25,000 - \$49,999
- \$50,000 - \$99,999
- \$100,000 - \$249,999
- \$250,000 - \$1,000,000
- Over \$1,000,000

3. Liquid assets (assets that can be quickly converted to cash)

- Under \$25,000
- \$25,000 - \$49,999
- \$50,000 - \$99,999
- \$100,000 - \$249,999
- \$250,000 - \$1,000,000
- Over \$1,000,000

4. Risk Capital, including initial deposit.

- Under \$25,000
- \$25,000 - \$49,999
- \$50,000 - \$99,999
- \$100,000 - \$249,999
- \$250,000 - \$1,000,000
- Over \$1,000,000

5. Have you ever declared bankruptcy?

- YES NO

If YES, provide date and detail (attach letter if necessary): _____

6. Do you have or have you ever had any other account(s) with Bacera?

- YES NO

If YES, Account Number(s): _____

Trading Experience

1. Do you understand the nature of margined transactions?

- YES NO

2. Do you understand the risks involved in margined transaction?

- YES NO

3. Do you have experience of trading margined product e.g. spot/forward FX, FX options or futures?

- YES NO

If YES:

i) What type of investments do you have experience in?

- | | | | |
|--------------------------------------|--------------|--------------------------------------|--------------|
| <input type="checkbox"/> Securities | Years? _____ | <input type="checkbox"/> Options | Years? _____ |
| <input type="checkbox"/> Commodities | Years? _____ | <input type="checkbox"/> Futures | Years? _____ |
| <input type="checkbox"/> Currencies | Years? _____ | <input type="checkbox"/> FX products | Years? _____ |

ii) How frequently do you trade and what is your average deal size? _____

iii) Which banks/companies have you traded with before? _____

4. Do you have any other relevant investment experience?

- YES NO

If YES, Please give details: _____

Joint Account Holder

Please answer all questions fully.

Please select a PASSWORD (can only contain alphanumeric characters (a-z,A-Z,0-9) and must be between 4-10 characters)

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(Please attach a copy)

Date of birth (MM/DD/YY): _____ Citizenship: _____

Residential Address: _____

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| <input type="checkbox"/> Currencies | Years? _____ | <input type="checkbox"/> FX products | Years? _____ |

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4. Do you have any other relevant investment experience?

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If YES, Please give details (attach letter if necessary): _____

Tax Filing Number & Australian Business Number Notification

For Australian Clients Only - Collection of Tax Filing Numbers ("TFNs") is authorized by law, their use and disclosure is regulated by taxation laws and the Privacy Act. It is an offense if you choose not to provide your TFN or ABN. If no TFN or a valid exemption is provided, tax may be taken out of any interest paid to you at the highest marginal tax rate plus the Medicare levy and forwarded to the Australian Taxation Office.

Privacy

The information in this Application Form and any other information provided by the applicant in connection with opening an account are for the purpose and of processing of your account application and to comply with certain laws and regulations. Bacera Co Pty Ltd ("Bacera") may use this information to send clients details of other services provided by the company. Full details of our privacy policy are available in our Company Product Disclosure Statement.

Bacera will maintain records of all transactions and activities on accounts with Bacera, including details of contracts traded and margin calls made. During the course of a relationship with Bacera, information about products and services utilized by the client will be kept on record. When assessing a client application, Bacera may also collect information about the prospective client from publicly available sources. Client personal information will be treated strictly in accordance with the National Privacy Principles in the Australian Privacy Act. An Australian resident customer may at any time, upon request, gain access to the information that the Bacera holds about him or her in accordance with the National Privacy Principles as described below.

Acknowledgements and Declarations

The Client acknowledge and declare to Bacera that in signing this application form:

1. The client has read, understood and agrees to be bound by the documents comprising the Bacera Client Agreement, including the Financial Services Guide (FSG) and Product Disclosure Statement (PDS).
2. The Client has obtained appropriate sufficient advice from an independent expert on potential obligations and liabilities relating to transactions on this account.
3. The Client is advised by Bacera to obtain independent legal advice concerning the opening of an off-exchange, derivatives trading account with the Company.
4. The Client declares that before completing this Application Form, he/she has received and read the FSG and PDS provided by Bacera, and understands the risk associated with investing in the financial products discussed in the FSG and PDS.
5. The Client has given consideration to their objectives, financial situation and needs, and the significant risks of loss which accompany the prospects of profit associated with dealing in financial products with Bacera, and have formed the opinion that dealing in such products is appropriate for their investment purposes.
6. The Client declares that all information provided in this Application Form is true and correct to the best of their knowledge.
7. The Client will promptly notify Bacera in writing if any of the information contained in this application form materially changes or ceases to be correct.
8. The Client acknowledges that Bacera may accept or reject this account application at its complete discretion and may with the Client's consent amend this application form.
9. If the Client's application for an account is accepted, the Agreement comprising of Bacera's FSG and PDS is deemed to be entered into between the Client and Bacera. An account will be opened in the Client's name in accordance with the relevant Terms and Conditions.
10. The Client acknowledges that neither Bacera nor any associated entity:
 - i) guarantees the performance of any given financial product or account;
 - ii) guarantees that any financial product or account will achieve a particular rate of return.

NOTICE TO CUSTOMERS

Bacera Co Pty Ltd hereby declares that we have never authorized or delegated any enterprise, organization or individual or any affiliated company, organization or individual of our company to carry out any financing agency service in any form. Please do not entrust any affiliated company, organization or individual of our company or any employee of such affiliated company or organization to carry out any financing agency service on your behalf. In case of any losses or damages resulting there from, our company, as well as our affiliated companies and organizations will not be liable for these losses and damages.

JOINT APPLICANTS

By executing this Account Application form as Joint Applicants; Bacera is authorized to act on instructions of either party transmitted to Bacera via the Online Trading Platform or the Dealing Desk. For instructions not sent via the Online Trading Platform or Dealing Desk (i.e. withdrawals, account closures, etc.), please indicate whether Bacera can accept instructions from:

- Primary Account Holder Only**
- Either Primary or Joint Account Holder**
- Both Account Holders Together**

SIGNATURE PAGE

I declare that the information provided in this application to the best of my knowledge is wholly true. I acknowledge I have read the above Declarations, as well as Bacera's Financial Services Guide ("FSG") and Product Disclosure Statement ("PDS"), and understand them fully. If at any time the information contained herein should change I will notify you immediately in writing.

Primary Account Holder

SIGNED _____ DATED _____

PRINT FULL NAME _____

Joint Account Holder

SIGNED _____ DATED _____

PRINT FULL NAME _____

Account Opening Checklist

- Applicants must acknowledge they have received and read the entire Bacera Client Agreement, including the Financial Services Guide, Product Disclosure Statement, and Terms and Conditions within the PDS.
- Applicants must provide all contact and personal information requested in the Client Application.
- Applicants must provide all financial information requested within the Client Application, including assets, liabilities, income and previous investment experience.
- If the Applicant is opening a Corporate Account, one Director must sign the Board of Resolution.
- Applicants must provide two certified copies of at least two forms of ID, either two primary forms (driver's license and passport) or one primary and one secondary form (utility bill).
- A Guarantor must sign the Guarantee, accompanied by a witness, for all Corporate Applicants.
- All Corporate Applicants must provide ID for at least two directors.

FOR OFFICE USE ONLY

PROCESSED BY

ACCOUNT REPRESENTATIVE

DATE

APPROVED BY

COMPLIANCE DEPARTMENT

OFFICE MANAGER